

2005-06 Consolidated Grants Program Draft Guidelines Workshops



State Water Resources Control Board Staff

November 30, 2005	San Diego, CA	1:00 – 4:00 PM
December 1, 2005	Sacramento, CA	1:00 – 4:00 PM
December 5, 2005	Oakland, CA	1:00 – 4:00 PM

Stakeholder Workshop Objectives

- Highlight grant process and provide overview of draft Guidelines
- Answer questions on draft Guidelines
- Solicit comments for support or changes to draft Guidelines
- Staff available after workshop

Draft Guidelines available on-line at:

[http://www.waterboards.ca.gov/funding/
consolidgrants0506.html](http://www.waterboards.ca.gov/funding/consolidgrants0506.html)

Presentation Outline

- Overview of Grant Programs and Grant Process
- Timeline
- Overview of draft Guidelines
- Comment Topics
- Next Steps
- Sources of Information

Grant Program Overview

- Six Programs Consolidated
- Propositions 40, 50, & Federal Appropriations
- Remaining Proposition 13 Funds
 - Watershed Protection Program
 - Nonpoint Source (NPS) Pollution Control
 - Coastal NPS

Grant Programs

- Approximately \$143 Million Available:
 - Nonpoint Source (NPS) Pollution Control Program – \$19 M
 - Coastal NPS Pollution Control Program - \$43.1 M (\$10 M for Ocean Protection)
 - NPS Implementation Program - \$4.5 M
 - Agricultural Water Quality Grant Program - \$15.2 M
 - Urban Stormwater Program - \$14.25 M
 - Integrated Watershed Management Program - \$47.5 M

Two-Step Process

- Step 1 - Concept Proposal
- Step 2 - Full Proposal
- Proposals will be submitted on-line using State Water Board's Financial Assistance Application Submittal Tool (FAAST)

<https://faast.waterboards.ca.gov/>

Step 1 - Concept Proposal (CP)

- Brief Application
- Focus on Regional Water Board & Partner Agency priorities identified in Guidelines
- Eligible CPs reviewed & scored
- Applicants with high scoring CPs will be invited to submit Full Proposals for specific program
- Evaluation criteria outlined in Appendix H of draft Guidelines

Step 2 - Full Proposal

- By invitation only based on Concept Proposal score
- Focus on scientific & technical merit
- Evaluated & scored by technical review teams
- Evaluation criteria outlined in Appendix I of draft Guidelines
- Selection Panel generates recommended funding lists

Timeline: Projected Dates*

- End of Public Comment *December 5, 2005*
Period for Draft Guidelines *5:00 P.M.*
- Final Guidelines Adopted *January 4, 2006*
- Concept Proposals Available
in FAAST *January 9, 2006*
- Applicant Workshops *January 2006*
- Concept Proposals Due *February 9, 2006*
- Full Proposal Invitations *March 2006*
- Full Proposals Due *May 2006*
- Recommended Project List to
State Water Board and California
Bay Delta Authority *July – November 2006*

** Dates subject to change*

Project Timeframes

- Figure 1
- Project timeframes
 - Proposition 40 funds must be encumbered by December 2006, projects completed by December 2008
 - Proposition 50 funds must be encumbered by June 2007/2008, projects completed prior to June 2009/2010
 - **AWQGP: 2007/2009**
 - **Coastal NPS: 2008/2010**

Overview of Draft Guidelines

- Minimum & Maximum Grant Amounts
- Match Requirements
- Eligible Grant Recipients & Project Types
- Priorities
- Evaluation Criteria
- General Requirements

Minimum/Maximum Grant Amounts

Grant Program	Maximum Grant Amount	Minimum Grant Amount
Coastal Nonpoint Source Pollution Control Program (CNPS)	\$5,000,000	\$250,000
Nonpoint Source Pollution Control (NPSPC) Program	\$5,000,000	\$250,000
NPS Implementation Program	\$1,000,000	\$250,000
Urban Stormwater Program (USWP)	\$1,000,000	\$250,000
Agricultural Water Quality Grant Program (AWQGP)	\$1,000,000	\$250,000
Integrated Watershed Management Program (IWMP)	\$5,000,000 (\$500,000 for Planning)	\$250,000 (\$100,000 for Planning)

(Section IIIA, Table 2)

Match Requirements

Grant Program	Minimum Match Requirement
Coastal Nonpoint Source Pollution Control Program (CNPS)	20% for Projects between \$1,000,000 to \$5,000,000 15% for Projects less than \$1,000,000¹
Nonpoint Source Pollution Control (NPSPC) Program	25%
NPS Implementation Program	25%
Urban Stormwater Program (USWP)	25%
Agricultural Water Quality Grant Program (AWQGP)	25%
Integrated Watershed Management Program (IWMP)	25%

¹ Match requirements established by law.

(Section IIIA, Table 2)

Match Requirements

- Match waived or reduced for Disadvantage Communities
- State funds may not be used for match, except **by** State Agencies (required)
- No Difference in match requirement for planning versus implementation projects
- Reimbursable expenses incurred between adoption of Guidelines and end of grant agreement term can be applied to funding match

(Section IIIA, Table 2, Section VL, and **Appendix D**)

Match Versus Reimbursable Costs

- Match:
 - Adoption of Guidelines by Board to end of grant agreement term
- Reimbursable Cost:
 - Term of grant agreement

Eligible Grant Recipients & Project Types

- Eligible Grant Recipients:
 - Varies by Program
 - Section IIIB, Table 3
 - Definitions in Appendix E
- Eligible Project Types
 - Must be listed in bond

Priorities

- Goal: Focus remaining resources on most critical watershed and water quality problems
- Identified during workgroup meetings with Regional Water Boards, USEPA, and Partner Agencies
- All projects must meet at least one Regional Water Board or State Water Board priority (for IWMP-3 priorities)
- Priorities presented in Appendix G of the draft Guidelines

(Section IV)

Evaluation Criteria: Concept Proposal

- Eligibility
 - Figure 2
- Scored Criteria
 - Appendix H
- Review Teams

(Appendix H)

Evaluation Criteria: Full Proposal

- Eligibility Information
- General Criteria Information
- Integrated Watershed Management Program (IWMP) Planning Proposals Information
- Implementation Project Information
- Program-Specific Information
- Additional Application Information
- Review Teams

(Appendix I)

Program-Specific Highlights

- Coastal Nonpoint Source Pollution Control Program:
 - Up to 5% of funds reserved to fund projects that provide a direct benefit to disadvantaged communities
 - Geographic Scope – Prop 50 CNPS funds split 60/40 between southern and northern California
- Integrated Watershed Management Program:
 - **Planning and Implementation**
 - Accelerated Selection and Contracting Procedure (ASCP)

(Section IIIC, IIID, VH, and Appendix C)

Accelerated Selection and Contracting Procedure (ASCP)

- Only for Integrated Watershed Management Program (IWMP)
- Allows for expedited award and grant agreement
- To be eligible, a project must:
 1. Be part of an approved watershed management plan consistent with Section 79078 of Water Code;
 2. Be fully permitted and ready to be implemented; and
 3. Include matching funds or services donated from nonstate sources.

General Requirements

- Conflict of Interest
- Confidentiality*
- Labor Code Compliance
- California Environmental Quality Act (CEQA) Compliance
- Watershed Management Plan Consistency
- Waiver of Litigation Rights*
- Project Assessment & Evaluation Plans
- Monitoring & Reporting
- Data Management
- Modification of a River or Stream Channel
- Urban Water Management Plan
- CALFED Program Consistency (**Proposition 50**)
(Section VI)

Comment Topics - Confidentiality

- Privacy rights and any other confidentiality protections are waived once proposals are submitted to State Water Board
- Project locations, including locations of management measures or practices implemented and all monitoring locations, must be reported to State Water Board and Regional Water Boards and will be available to the public **in Water Board files**
- **Ambient water quality data will be available through internet accessible databases**

Comment Topics – Waiver of Litigation Rights

- Grant agreements specify that acceptance of grant funds constitutes a waiver of litigation rights (including pending actions) to challenge State Water Board or Regional Boards regulations or orders, reasonably related to the grant's purpose
- Draft grant agreements will be posted on State Water Board's website for review

Next Steps

- **Revise draft Guidelines based on comments**
- **California Bay Delta Authority review of Guidelines**
- **State Water Board Meeting to adopt revised Guidelines**
- **Post final Guidelines on-line**
- **Post solicitation notice for Concept Proposals on-line**

Sources of Information

- **Consolidated Grants Program Website**

<http://www.waterboards.ca.gov/funding/consolidgrants0506.html>

- **Draft Guidelines**

http://www.waterboards.ca.gov/funding/draft_guidelines.html

- **Electronic Mailing List**

Select “Consolidated Grants 2005-06” on the mailing list subscription form, located at:

http://www.waterboards.ca.gov/lyrisforms/swrcb_subscribe.html

Contact Information

- E-mail questions or comments to:
DFA_Grants@waterboards.ca.gov
- Project-specific questions should be directed to appropriate staff listed on contact handout

General Questions on Draft Guidelines

Comments on Draft Guidelines

- Comments relating to:
 - Priorities
 - Small Grants
 - Full Proposal Evaluation Criteria
 - Waiver of Litigation Rights
 - Other Comments

Breakout Discussions

■ Tables

- Regional Water Board Representatives - *Priorities and Project-Specific Questions*
- FAAST – *User Account and Application Questions*
- State Water Board Representatives - *Process, Grant Amounts, Eligibility, Grant Agreement, Guideline Questions*